



Electric Load Aggregation Application Form

NHPUC 29NOV18PM1:44

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input checked="" type="checkbox"/> Renewal <input type="checkbox"/>	
Applicant's General Information	
Puc 2006.02(a)	Legal Name Collaborative Energy Options, Inc.
	Trade Name (d/b/a) (if applicable)
Puc 2006.02(b)	Business Mailing Address 410 Kingstown Road, Suite 3 West Kingston, RI 02892
	Telephone Number 401-414-3344
	E-Mail Address mgt@cenergyoptions.com
	Website Address (if applicable) www.collaborativeenergyoptions.com
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.
Name	K. Erik Wallin
Title	President and Director
Business Mailing Address	410 Kingstown Road, Suite 3 West Kingston, RI 02892
Telephone Number	401-792-3001
E-Mail Address	wallinlaw@verizon.net
Name	
Title	
Business Mailing Address	
Telephone Number	- -
Email Address	
Name	
Title	
Business Mailing Address	
Telephone Number	- -
E-Mail Address	

¹ "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	K. Erik Wallin
	Title	President
	Telephone Number	401-414-3344
	Toll-Free Telephone Number (if available)	- -
	E-Mail Address	mgt@cenergyoptions.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	K. Erik Wallin
	Title	President
	Business Mailing Address	410 Kingstown Road, Suite 3
		West Kingston, RI 02892
	Telephone Number	401-792-3001
E-Mail Address	wallinlaw@verizon.net	

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	K. Erik Wallin
	Title	President
	Business Mailing Address	410 Kingstown Road, Suite 3
		West Kingston, RI 02892
	Telephone Number	401-792-3001
E-Mail Address	wallinlaw@verizon.net	

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	K. Erik Wallin
	Title	President
	Business Mailing Address	410 Kingstown Road, Suite 3
		West Kingston, RI 02892
	Telephone Number	401-792-3001
E-Mail Address	wallinlaw@verizon.net	

Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	<p>Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.</p>
Puc 2006.02(g)	<p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.</p>

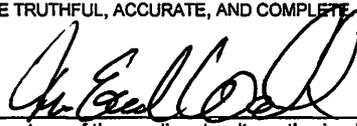


Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	NO
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	NO
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	NO
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	NO
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	NO
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.		

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	RHODE ISLAND

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	NO
If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.		

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	12/1/18 Date

Attestation and Signature		
Puc 2006.02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: K. ERIK WALLIN</p> <p>Title: PRESIDENT</p>	<p>10/9/18 Date</p>

Filing Instructions		
<p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: <u>Executive.Director@puc.nh.gov</u></p>		

State of New Hampshire

Department of State

CERTIFICATE OF AUTHORITY OF COLLABORATIVE ENERGY OPTIONS, INC.

The Secretary of State of the State of New Hampshire hereby certifies that an Application of **COLLABORATIVE ENERGY OPTIONS, INC.** for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to **COLLABORATIVE ENERGY OPTIONS, INC.** to transact business in this State under the name of **COLLABORATIVE ENERGY OPTIONS, INC.**, and attaches hereto a copy of the Application for such Certificate.

Business ID: 805051



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 11th day of October A.D. 2018.

A handwritten signature in black ink, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State